

5 JUN 1974

DD/S&T#2214/74

3 JUN 1974

MEMORANDUM FOR THE DCI

SUBJECT: Revamping the KIQ Evaluation Process

Recently you have been hearing a lot of complaints about the KIQ's and the KIQ evaluation process, and I would guess that it is sometimes difficult for you to distinguish whether the criticisms go to the KIQ concept itself or only to the paper work that has grown up around the KIQ Evaluation Process. I personally consider the KIQ's to be a very useful and important innovation and I think you should feel comfortable that most people recognize the importance of having for the first time a list of the questions which the DCI considers to be of highest priority. Likewise, I think you should feel content that many benefits can accrue from some kind of a procedure which would use the KIQ's as a focus for periodic review of collection system performance. I therefore encourage you to concern yourself only with revising the procedures which have been established to perform the KIQ evaluations; these have, I believe, been formalized to the point where they endanger the true value of the evaluations.

It seems to me that the great benefit that can result from the KIQ evaluation process is a discipline which will force the production office managers and their next level supervisors to periodically ask themselves how well the collectors are doing against Key Intelligence Questions and to report their impressions about this to you. If these individuals personally review this question, say, every six months, that action in itself would stimulate analysts all down the line to take on as part of their daily activities the function of evaluating their sources of information as they receive the data. This would provide you with a constant base of information and a source of critique from which your NIO's can draw whenever a specific value judgment must be made; and it will inevitably promote a continuing dialogue between users and collectors about the utility of the collector's product. This is a very practical, worthwhile and, I believe, sufficient benefit that you can hope to get from a properly constructed KIQ evaluation process.

The question is then, how to arrange that process in a way which will force the personal attention of the production office managers and their next level supervisors on the issues. I do not think you can

attain this result from the kind of formalized procedures that have so far been attempted. Indeed, I think these will only bring the process to the level of other routine reporting and will cause the very people whom you want to be involved to give only lip service to the process.

I propose instead the following. In the past I have heard you speak of your hope that KIQ evaluations could be made on one or two pages. I suggest that you insist on that, and rather than ask your IC Staff to administer the process, you go directly to the managers of the production offices around the community and require that each office manager and each of their next level supervisors prepare a one or two page evaluation for each KIQ, that they write it out in their personal hand, and that they compose it only after a face-to-face review with their next level subordinates on each Key Intelligence Question. I suggest that you require all of these individuals to have such a hand written evaluation in your office on the first of January and the first of July of each year. If possible, it would be useful for you to follow the receipt of these evaluations with a series of meetings at which these managers would personally summarize their evaluations for you.

It may seem peculiar to you that I specify that the evaluations be submitted in the hand of the submitting officer, but I believe that this is the only way that you can prevent the procedure from devolving into an evaluation written primarily by staff people and typed out for the boss to sign. As such it would probably be prepared without the full participation of the manager whose personal attention and experience you are trying to involve.

I would also prohibit anyone from trying to collate these opinions after they are submitted in an attempt to provide some comprehensive evaluation of the full array of collection resources. I think such a collation would not only be misleading (since the data base is too narrow, being derived from only the high priority KIQ's) but would inevitably put pressure on those submitting the reports for adherence to a more and more structured and formalized evaluation (with no doubt an end objective of getting results into a computer). Your purposes will be well served if you will insist on a process that has the single objective of forcing the attention of your intelligence production managers on the question of collection system performance against the Key Intelligence Questions. The specific answers that come out of such a review are much less important, I believe, than the fact that the review is regularly taking place.

Donald H. Steininger /

cc: Mr. Duckett
Dr. Proctor

Mr. Carver
Gen. Graham

SENDER WILL CHECK CLASSIFICATION TOP AND BOTTOM

UNCLASSIFIED Approved For Release 2005/03/30 : CIA-RDP80M01082A000800140018-4

OFFICIAL ROUTING SLIP

TO	NAME AND ADDRESS	DATE	INITIALS
1	General Graham		G
2			
3			
4			
5			
6			

<input type="checkbox"/> ACTION	<input type="checkbox"/> DIRECT REPLY	<input type="checkbox"/> PREPARE REPLY
<input type="checkbox"/> APPROVAL	<input type="checkbox"/> DISPATCH	<input type="checkbox"/> RECOMMENDATION
<input type="checkbox"/> COMMENT	<input type="checkbox"/> FILE	<input type="checkbox"/> RETURN
<input type="checkbox"/> CONCURRENCE	<input type="checkbox"/> INFORMATION	<input type="checkbox"/> SIGNATURE

Remarks:

OK. except that while Bill can get hard-earned evaluation of me. I'll + COST, he can't from DIA, JNR, etc.

FOLD HERE TO RETURN TO SENDER

FROM: NAME, ADDRESS AND PHONE NO.		DATE
Donald H. Steininger		3 June 74
<input type="checkbox"/> UNCLASSIFIED	<input type="checkbox"/> CONFIDENTIAL	<input type="checkbox"/> SECRET

FORM NO. 237 Use previous editions

(40)

3 JUN 1974

IC REGISTRY ROUTING SLIP

OFFICE	INITIALS	DATE	OFFICE	INITIALS	DATE
1 LIG Graham	6		3 MPRRG		
Dr. Clarke			3 PRG		
SP ASST			CPAG		
Exec Off			USIB SEC		
CS			IHC		
ICS Registry					

ACTION REQUIRED AND
ASSIGNED TO: _____

SUSPENSE DATE: _____

INFORMATION ONLY

IC Rec cys Cys furn: Gen Graham _____ Dr. Clarke _____ CS _____ MPRRG _____
PRG _____ CPAG _____ USIB _____ IHC _____

REMARKS:

2-3 Hand at 6:12

11 JUN 67

IC REGISTRY ROUTING SLIP

Production
59 KIDS

OFFICE	INITIALS	DATE	OFFICE	INITIALS	DATE
2 IC Graham	<i>(Signature)</i>		3 MPRRG		
X1 1	<i>(Signature)</i>		4 PRG		
SP ASST			CPAG		
Exec Off			USIB SEC		
CS			IHC		
ICS Registry					

ACTION REQUIRED AND ASSIGNED TO: _____	SUSPENSE DATE: _____	INFORMATION ONLY _____
--	----------------------	------------------------

IC Rec	cys	Cys turn: Gen Graham _____	Dr. Clarke _____	CS _____	MPRRG _____
		PRG _____	CPAG _____	USIB _____	IHC _____

REMARKS: *Copy/Carry only*
we need to address the points. Please
bring them with me. *Jue*

TO:

		ACTION	INFO.			ACTION	INFO.
1	DCI			11	LC		
2	DDCI			12	IG		
3	S/MC			13	Compt		
4	DDS&T			14	Asst/DCI		
5	DDI			15	AO/DCI		
6	DDM&S			16	Ex/Sec		
X1 7	DDO						
8	D/DCI/IC						
9	D/DCI/NIO						
10	GC						

SUSPENSE

Date

Remarks:

Some interesting points

DCI/DDCI

6/10/74

Executive Registry

74-3781

Notes by DCI

6/10/74

8 JUN 1974

MEMORANDUM FOR THE DCI

SUBJECT: Revamping the KIQ Evaluation Process

Recently you have been hearing a lot of complaints about the KIQ's and the KIQ evaluation process, and I would guess that it is sometimes difficult for you to distinguish whether the criticisms go to the KIQ concept itself or only to the paper work that has grown up around the KIQ Evaluation Process. I personally consider the KIQ's to be a very useful and important innovation and I think you should feel comfortable that most people recognize the importance of having for the first time a list of the questions which the DCI considers to be of highest priority. Likewise, I think you should feel content that many benefits can accrue from some kind of a procedure which would use the KIQ's as a focus for periodic review of collection system performance. I therefore encourage you to concern yourself only with revising the procedures which have been established to perform the KIQ evaluations; these have, I believe, been formalized to the point where they endanger the true value of the evaluations.

It seems to me that the great benefit that can result from the KIQ evaluation process is a discipline which will force the production office managers and their next level supervisors to periodically ask themselves how well the collectors are doing against Key Intelligence Questions and to report their impressions about this to you. If these individuals personally review this question, say, every six months, that action in itself would stimulate analysts all down the line to take on as part of their daily activities the function of evaluating their sources of information as they receive the data. This would provide you with a constant base of information and a source of critique from which your NIO's can draw whenever a specific value judgment must be made; and it will inevitably promote a continuing dialogue between users and collectors about the utility of the collector's product. This is a very practical, worthwhile and, I believe, sufficient benefit that you can hope to get from a properly constructed KIQ evaluation process.

The question is then, how to arrange that process in a way which will force the personal attention of the production office managers and their next level supervisors on the issues. I do not think you can

attain this result from the kind of formalized procedures that have so far been attempted. Indeed, I think these will only bring the process to the level of other routine reporting and will cause the very people whom you want to be involved to give only lip service to the process.

This is a major point -

I propose instead the following. In the past I have heard you speak of your hope that KIQ evaluations could be made on one or two pages. I suggest that you insist on that, and rather than ask your IC Staff to administer the process, you go directly to the managers of the production offices around the community and require that each office manager and each of their next level supervisors prepare a one or two page evaluation for each KIQ, that they write it out in their personal hand, and that they compose it only after a face-to-face review with their next level subordinates on each Key Intelligence Question. I suggest that you require all of these individuals to have such a hand written evaluation in your office on the first of January and the first of July of each year. If possible, it would be useful for you to follow the receipt of these evaluations with a series of meetings at which these managers would personally summarize their evaluations for you.

I'm not sure this is the solution, but there is a problem

It may seem peculiar to you that I specify that the evaluations be submitted in the hand of the submitting officer, but I believe that this is the only way that you can prevent the procedure from devolving into an evaluation written primarily by staff people and typed out for the boss to sign. As such it would probably be prepared without the full participation of the manager whose personal attention and experience you are trying to involve.

I would also prohibit anyone from trying to collate these opinions after they are submitted in an attempt to provide some comprehensive evaluation of the full array of collection resources. I think such a collation would not only be misleading (since the data base is too narrow, being derived from only the high priority KIQ's) but would inevitably put pressure on those submitting the reports for adherence to a more and more structured and formalized evaluation (with no doubt an end objective of getting results into a computer). Your purposes will be well served if you will insist on a process that has the single objective of forcing the attention of your intelligence production managers on the question of collection system performance against the Key Intelligence Questions. The specific answers that come out of such a review are much less important, I believe, than the fact that the review is regularly taking place.

?

[Redacted Signature Box]

Donald H. Steininger

25X

cc: Mr. Duckett
Dr. Proctor

Mr. Carver
Gen. Graham

5X1